



Opening: Manager – SRO, Compliance and Data Analytics

About the Role

Fintech Association for Consumer Empowerment (FACE) is a non-profit industry association and **RBI-recognised Self-Regulatory Organization in the FinTech sector (SRO-FT)**. FinTech companies come together at FACE to facilitate customer-centric digital financial services that are safe, suitable, and transparent, delivering positive impacts on society and the economy. Please visit our [website](#) and refer to the [SRO-FT Framework](#) to understand our work.

The FinTech ecosystem is a fast-evolving space in many ways, including changing the regulatory and policy landscape. The intersection of financial services, data, and technology, along with challenges of customer protection, data security, conduct risks and market integrity, requires a nuanced, in-depth approach to understanding the market and policy framework. As an industry association and SRO-FT, FACE nurtures the FinTech ecosystem by leveraging new research/evidence/information and working towards a sustainable FinTech industry, delivering customers' progress in line with public policy objectives.

We are seeking a professional to join the FACE SRO team to support SRO functions at our **Mumbai Office**. This position will work closely with the VP – SRO and is best suited for professionals working with or closely alongside FinTechs, NBFCs, Banks, or regulated financial institutions, and who are comfortable operating in data-intensive, fast-evolving regulatory environments. This role is for a high-calibre compliance professional with strong data analytics capabilities to operate at the intersection of regulatory compliance, supervisory oversight, policy & research, technology-driven monitoring, analysing large datasets, managing regulatory dashboards, coordinating with members and partners, and ensuring accurate & timely internal and regulatory reporting.

FACE provides an open, innovative, impact-oriented, collaborative work environment for people to expand their horizons and thrive. The company offers a positive culture to empower people, giving them space to learn, strive for relevance and excellence and own the outcomes when making decisions.

Key Responsibilities

1. Regulatory Compliance & Monitoring

- Track, interpret, and operationalise applicable regulatory frameworks (RBI, SEBI, IRDAI, and other relevant authorities).
- Monitor regulatory updates, circulars, directions, and guidelines impacting fintech and digital financial services.
- Support development, review, and updation of internal compliance policies, SOPs, and supervisory frameworks aligned with SRO objectives.
- Assist in ensuring member adherence to regulatory and SRO-prescribed standards.
- Conduct internal compliance reviews and audits into potential regulatory or SRO standard violations.
- Support follow-ups and closure of audit observations and supervisory findings.

2. Data Analysis & Supervisory Analytics

- Analyse large and complex datasets to identify compliance risks, emerging trends, red flags, and systemic issues.
- Perform periodic thematic and trend-based analysis across member data submissions.
- Define and track Key Risk Indicators (KRIs), compliance metrics, and supervisory indicators.

3. Dashboards, Reporting & Technology Enablement

- Design, develop, and manage multiple dashboards for compliance monitoring, supervisory oversight, and management reporting.
- Ensure data accuracy, consistency, and integrity across reporting systems.
- Leverage AI-driven tools, automation, and advanced analytics for proactive compliance monitoring.
- Coordinate with IT and data teams to improve data pipelines, reporting formats, and analytics capabilities.
- Maintain status trackers, documentation, and progress reports for FACE leadership and the Technical Working Group.

4. Regulatory & Internal Reporting

- Ensure timely, accurate, and structured regulatory reporting to the board, internal committees, regulators, and stakeholders.
- Support preparation of compliance reports, supervisory notes, risk assessments, and management summaries.
- Keep oversight on secretarial compliances.
- Maintain robust documentation and audit trails for all compliance and supervisory activities.

5. Stakeholder Coordination & Liaison

- Act as a key coordination point between FACE's internal teams (policy, legal, technology, and research) and external stakeholders (members, partners, and others).
- Liaise with member institutions for data collection, clarifications, and compliance reviews.
- Support engagement with regulators, industry bodies, and supervisory forums as required.

Skills & Qualifications

Education:

- Postgraduate degree in Law, Finance, Business Administration, Economics, Data Science, or a related discipline.

Experience:

- 3–6 years of relevant experience in risk & compliance, data analytics, dashboards, risk management, and reporting.
- Prior exposure to FinTechs, NBFCs, Banks, or BFSI-focused consulting/research organisations in compliance, legal affairs, auditing, analytics, or risk management functions is desired.
- Working understanding of India's financial regulatory ecosystem, particularly RBI-led regulations.
- Familiarity with AI-driven compliance tools, automation, or RegTech solutions.
- Experience in survey design, industry studies, or supervisory research is an added advantage.

Technical & Analytical Skills:

- Strong proficiency in data analysis using Excel / Google Sheets, work, and PowerPoint.
- Ability to work with large datasets and translate data into actionable compliance insights.
- Desired experience with data visualisation, analytical tools like Power BI or Tableau.
- Strong analytical and risk-based thinking with high attention to detail and data accuracy.
- Ability to communicate complex compliance and data findings to non-technical stakeholders.
- Effective time management and ability to handle multiple dashboards, reports, and deadlines simultaneously.
- Strong documentation, reporting, and presentation skills.

Reporting

- Reports to VP – SRO (FACE).
- Location will be Lower Parel, Mumbai
- Works closely with FACE member & partner institutions, FACE committees, Technology Service Provider (TSP), regulators, consultants, and advisors

Success Indicators

- Strong member engagement reflected through timely data submissions, responsiveness to queries, and adherence to SRO-prescribed standards.
- High-quality, insight-driven analysis of member data leading to early identification of compliance risks, red flags, and systemic trends.
- Development and maintenance of robust, reliable dashboards for compliance monitoring and management reporting with high data accuracy and integrity.
- Consistent timeliness and quality of regulatory and internal reporting.
- Seamless coordination across internal teams (policy, legal, technology, research) and external stakeholders, ensuring alignment on compliance and data requirements.
- Maintenance of comprehensive documentation and audit trails supporting all compliance and supervisory activities.
- Timely and accurate tracking, interpretation, and operationalisation of regulatory updates with minimal lag in internal alignment.
- Demonstrable improvement in data quality, reporting standardisation, and adoption of analytics/automation tools over time.

How to apply

Please send your latest CV and current CTC to the email id teamface@faceofindia.org with Manager – SRO, Compliance and Data Analytics, in the subject line on or before **31 May 2026**.