



Fit and proper framework for SRO committees

JUN 2026

Fintech Association for Consumer Empowerment (FACE)

The RBI-recognised Self-Regulatory Organisation in the FinTech Sector (SRO-FT)

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1. Personal details

1	Position with FACE	
2	Full name	
3	Date of birth	
4	Permanent address	
5	Present address	
6	Email	
7	Mobile number	
8	Permanent Account Number (PAN)	
9	Director Identification Number (DIN)	
10	Bank relationship (bank name, IFSC code, account holder name, account number)	
11	Educational qualifications	
12	Relevant Background, expertise, experience, and achievements	

2. Relevant relationships

13. Involvement with Industry body/association/SROs

Sl no	Name of entity	Date of appointment	Position held

14. Involvement with FinTechs/Regulated Entities (REs)¹

Sl no	Name of company	Date of appointment	Position held

3. Proceedings, if any

15. Details of prosecution, if any, pending or commenced or resulting in conviction in the past against the applicant and/or against any of the entities listed in section II above for violation of economic laws and regulations.

16. Details of criminal prosecution, if any, pending or commenced or resulting in conviction in the last five years against the applicant. If yes, give particulars.

17. Any other explanation/information regarding items I and II and other information considered relevant for judging fit and proper.

4. Undertaking

18. I confirm that the above information is to the best of my knowledge and belief true and complete. I undertake to keep the FACE fully informed, as soon as possible, of all events which take place subsequent to my appointment which are relevant to the information provided above.

19. I confirm that:

- I have not been involved in any legal proceedings.
- I have not been convicted of any offence including moral turpitude / economic offence in the past

¹ Companies regulated by Financial Sector Regulators like RBI, IRDA, PFRDA, SEBI

Grievance and dispute resolution policy: The board first approved the policy on 8 May 2025 and last updated it on 5 Jun 2026.

20. I confirm that I will abide by the RBI SRO-FT Framework, FACE MoA/AoA, Policy on Conflict of Interest².

Name/Signature:

Date/Place:

5. Remarks of the chairperson of the FACE board

Name/Signature:

Date/Place:

² Declaration and undertaking with enclosures as appropriate as on dd/mm/yyyy. Any change in the information provided in this form must be promptly reported to the company by resubmitting the updated form